

**Privacy Act Statement.** Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, D.C. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the Administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public. Finally, the Attorney General intends, at the earliest possible opportunity, to make these public documents available on the Internet on the Department of Justice World Wide Web site.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

*Furnish this exhibit for EACH foreign principal listed in an initial statement  
and for EACH additional foreign principal acquired subsequently.*

1. Name and address of registrant  Philip S. Smith & Associates, Inc. 611 Pennsylvania Ave., S.E., Suite 381 Washington, D.C. 20003		2. Registration No.  5471
3. Name of foreign principal  General Rashid Dostum, Commander & President, Afghanistan Northern Alliance Junbish Party	4. Principal address of foreign principal  Military Headquarters, Mazar-e-Sharif Afghanistan	
5. Indicate whether your foreign principal is one of the following:  <input type="checkbox"/> Foreign government  <input checked="" type="checkbox"/> Foreign political party  <input type="checkbox"/> Foreign or domestic organization: If either, check one of the following: <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Partnership  <input type="checkbox"/> Corporation  <input type="checkbox"/> Association</div><div><input type="checkbox"/> Committee  <input type="checkbox"/> Voluntary group  <input type="checkbox"/> Other (specify) _____</div></div> <input checked="" type="checkbox"/> Individual-State nationality <u>Afghanistan-Uzbek, Military Commander</u> <u>of the Northern Alliance Junbish Party</u>		
6. If the foreign principal is a foreign government, state: a) Branch or agency represented by the registrant.  b) Name and title of official with whom registrant deals. <u>General Rashid Dostum, Supreme Commander &amp; President, Junbish</u>		
7. If the foreign principal is a foreign political party, state: a) Principal address. <u>Headquarters, Mazar-e-Sharif</u> b) Name and title of official with whom registrant deals. <u>Said Homayun Naderi &amp; General Dostum</u> c) Principal aim <u>Anti-Taliban opposition group fighting in Afghanistan in support</u> <u>of Operation Enduring Freedom</u>		

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8. If the foreign principal is not a foreign government or a foreign political party,

a) State the nature of the business or activity of this foreign principal

b) Is this foreign principal

Supervised by a foreign government, foreign political party, or other foreign principal Yes ☐ No ☐

Owned by a foreign government, foreign political party, or other foreign principal Yes ☐ No ☐

Directed by a foreign government, foreign political party, or other foreign principal Yes ☐ No ☐

Controlled by a foreign government, foreign political party, or other foreign principal Yes ☐ No ☐

Financed by a foreign government, foreign political party, or other foreign principal Yes ☐ No ☐

Subsidized in part by a foreign government, foreign political party, or other foreign principal Yes ☐ No ☐

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9. Explain fully all items answered "Yes" in Item 8(b). *(If additional space is needed, a full insert page must be used.)*


N/A

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10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

N/A

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Date of Exhibit A	Name and Title	Signature
11/7/01	Philip S. Smith, President	

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. One original and two legible photocopies of this form shall be filed for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

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Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant Philip S. Smith & Associates, Inc.	2. Registration No. 5471
3. Name of Foreign Principal General Rashid Dostum, Supreme Commander & President, Afghanistan Northern Alliance Junbish Party	

Check Appropriate Boxes:

4. ☒ The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.

5. ☐ There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.

6. ☐ The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.

7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

Providing professional consulting services, including government and public relations efforts.

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

Professional consulting services, including government and public relations as well as U.S. Congressional outreach and education.

9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes ☒ No ☐

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

Opposition to the Taliban regime in Afghanistan and Osama Bin Laden and his "Al Qa'eda" network in Afghanistan. In support military and humanitarian aid to Northern Alliance and anti-Taliban opposition forces in Afghanistan as well as innocent civilians and children inside Afghanistan. Advocating increased humanitarian aid and covert assistance to overthrow Taliban regime and capture or kill Osama Bin Laden. In support of "Loya Jirga" process in a post-Taliban Afghanistan.

Date of Exhibit B 11/07/01	Name and Title Philip S. Smith, President	Signature <i>Philip S. Smith, President</i>
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Footnote: Political activity as defined in Section 1(o) of the Act means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political interests, policies, or relations of a government of a foreign country or a foreign political party.



**Philip S. Smith & Associates, Inc.**

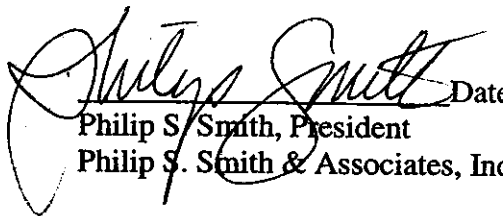
611 Pennsylvania Ave., S.E., Suite 381, Washington, D.C. 20003  
Tele. (202) 543-1444 Fax (202) 318-8162

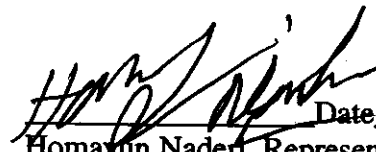
- 1.) As discussed by General Rashid Dostum, Hodayun Naderi and Philip Smith on September 12, 2001, following terrorist attacks on the United States, Philip S. Smith & Associates, Inc. (PSSAI) agrees to provide professional consulting services to General Rashid Dostum (Dostum) and the anti Taliban resistance party he represents in Northern Afghanistan and the United States, the Afghanistan Northern Alliance Junbish Party (ANAJP or "Junbish"); Dostum and Junbish (ANAJP) agree to retain PSSAI to assist them with helping to develop and implement a national and international strategy and agenda (including efforts regarding military, diplomatic, political, legislative, public relations, fundraising and humanitarian aid matters) in Washington, D.C., and internationally regarding the fight against international terrorism and the Taliban regime in Afghanistan as well as other related issues.
- 2.) Professional consulting services provided by PSSAI to Dostum and ANAJP for this project may also include: developing a legislative agenda, providing legislative and political analysis regarding potential legislation or policy efforts; drafting potential bills and legislation, or legislative amendments to bills; developing bipartisan political support for the introduction of bills or legislation; providing liaison and legislative support capacity in Washington, D.C., to the U.S. Congress and Executive Branch agencies; organizing and co-hosting newsmedia and press events that Dostum and "Junbish" may wish to conduct or participate in; and, drafting potential press releases and press statements. Philip S. Smith, of PSSAI, shall serve as Dostum and the Junbish's, Washington, D.C., liaison and may assist them in public relations, strategic planning, fundraising, lobbying and other such professional consulting matters.
- 3.) The Agreement shall extend an initial 6 months (from Sept. 11, 2001 to March 11, 2002) thereafter extending on a month to month basis unless cancelled by either party in writing with 30 days written notice.
- 4.) Commission Basis of Payment: Payment by Dostum and ANAJP to PSSAI shall be made in the form of 10% of all funds from U.S. government and international government sources that PSSAI helps to raise for Dostum, ANAJP and their forces. Payment shall be made to PSSAI not more than 1 month after such funds are received. Payment by Dostum and ANAJP to PSSAI shall also be made in the form of 20% of all funds from corporate, private and institutional sources that PSSAI helps to raise for Dostum, the ANAJP and their forces. Payment shall be made to PSSAI not more than 1 month after such funds are received.
- 5.) Expenses: PSSAI shall keep expenses to a bare minimum while providing professional consulting services for this project. If possible, General Dostum and the ANAJP, will, however, from time to time, reimburse or provide an expense budget to PSSAI for expenses related to the professional services provided to Dostum and ANAJP.
- 6.) PSSAI agrees to provide its best efforts toward this project. However, it is understood by Dostum and the ANAJP that this is a limited, part-time consulting effort and PSSAI cannot, and does not, guarantee the final results or outcome of its efforts on this project. Dostum and ANAJP agrees that PSSAI is under contract as an independent contractor for

professional services rendered. PSSAI and Dostum and the ANAJP also agree to abide at all times by all Federal, State and local laws on this project.

- 7.) This Letter of Understanding and Agreement, and its terms, will remain strictly confidential. This is the entire Letter of Understanding and Agreement between PSSAI and Dostum and the ANAJP and will be governed by the laws of the District of Columbia and the Commonwealth of Virginia.

Signatures:

 Date 9-25-01  
Philip S. Smith, President  
Philip S. Smith & Associates, Inc.

 Date 9-25-21  
Hedayatullah Naderi, Representative  
General Rashid Dostum &  
Afghanistan Northern Alliance  
Junbish Party (ANAJP)